

1 Overview

Right off the bat we need to discuss the difference between discrete and continuous. A Discrete unit is indivisible, and we count discrete things. This gives us number such as the set of Natural numbers, $\mathbb{N} = \{0, 1, 2, 3, 4, \dots\}$. On the flipside, we measure with continuous units. This gives fractions, and non-negative real numbers.

We also have discrete structures which include sets, sequences, networks, matrices, permutations, and real-world data. These structures are what the class will focus on.

Theorem 1 (Naive Set Theory). A set is an unordered collection of objects. Let S be a set. If there are exactly n distinct objects in S (where n is a non-negative integer), then we say the cardinality of S is n , i.e. $|S| = n$. If s is an element of S , we say $s \in S$. Let A and B be sets, the Cartesian product of A and B , $A \times B$, is the set of all ordered pairs (a, b) where $a \in A$ and $b \in B$, i.e. $A \times B = \{(a, b) \in A \times B\}$.

2 Principles of Counting

Theorem 2 (Multiplicative Principle of Counting). If task 1 can be done in n_1 ways, and task 2 can be done in n_2 ways, then the total number of ways to do one task and then the other is $n_1 \cdot n_2$.

Theorem 3 (Additive Principle of Counting). If task 1 can be done in n_1 ways, and task 2 can be done in n_2 ways, then the total number of ways to do one task or then the other is $n_1 + n_2$.

2.1 Pigeon-Hole Principle

Theorem 4 (The Pigeon-Hole Principle). If n pigeons fly into k pigeon holes, and $k < n$, then some pigeon-hole must contain at least 2 pigeons. If f is a function from a finite set x to a finite set y , and if $|x| > |y|$, then $f(x_1) = f(x_2)$ for some $x_1, x_2 \in x$ such that $x_1 \neq x_2$.

Theorem 5 (The Extended Pigeon-Hole Principle). If N pigeons are assigned to $K < N$ pigeon holes, then one of the pigeon holes must contain at least $\lceil \frac{N}{K} \rceil + 1$ or $\lfloor \frac{N}{K} \rfloor$ pigeons.

APPM 3170 The generating function is $A(z) = \frac{1}{1-z}$

Theorem 13. If $A(z)$ is the generating function for the sequence associated to $\{a_n\}_{n \geq 0}$ and if $B(z)$ is the generating function associated to $\{b_n\}_{n \geq 0}$, then

- 1. $\alpha A(z) + \beta B(z)$ is the generating function associated to $\{\alpha a_n + \beta b_n\}_{n \geq 0}$ where $\alpha, \beta \in \mathbb{R}$.
- 2. $A(z) \cdot B(z)$ is the generating function associated to

$$\{c_n\}_{n \geq 0} = \sum_{k=0}^n a_k b_{n-k}$$

Example 2.9. In how many ways can change be given for 30 cents using pennies, nickels, dimes, and quarters?

Let's look at the generating functions for each currency: Pennies: $(1 + z + z^2 + z^3 + \dots)$ Nickels: $(1 + z^5 + z^{10} + z^{15} + \dots)$ Dimes: $(1 + z^{10} + z^{20} + z^{30} + \dots)$ Quarters: $(1 + z^{25} + z^{50} + z^{75} + \dots)$

The product of these polynomials is the total number of ways to make change.

$$A(z)B(z)C(z)D(z) = 1 + z + z^2 + z^3 + z^4 + z^5 + \dots + 18z^{30}$$

Therefore, there are 18 ways to make change for 30 cents.

2.9 The Inclusion/Exclusion Principle

This applies to cardinality, area, mass, volume, etc...

How many elements are there in $A \cup B$ where A and B are finite sets?

$$|A \cup B| = |A| + |B| - |A \cap B|$$

Now consider three finite sets:

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

Notation for three finite sets:

$$|A_1 \cup A_2 \cup A_3| = \sum_{1 \leq i < j \leq 3} |A_i \cap A_j| - \sum_{1 \leq i < j < k \leq 3} |A_i \cap A_j \cap A_k|$$

Theorem 14 (Inclusion/Exclusion). Let A_1, A_2, \dots, A_n be finite sets, then

$$|\text{Disjoint} \cup \bigcup_{i=1}^n A_i| = \sum_{i=1}^n |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| + \dots + (-1)^{n+1} |A_1 \cap \dots \cap A_n|$$

3.2 Change Problem

Consider the problem of making change for n cents using quarters, dimes, nickels, and pennies using the fewest total number of coins.

The strategy for this problem is defined as the following. At each step, choose the coin of largest denomination possible without exceeding the total.

```
def change(c1, c2, ..., cn, n):
    c = [0, 0, 0, ..., 0] # Number of coins we have 1
    for i in range(1, cn):
        while n >= ci:
            c[i] = c[i] + 1
            n = n - ci
    return c
```

Lemma: If $n \in \mathbb{Z}$, $n \geq 0$, then n cents in change (q, d, n, p) , using the fewest coins possible, has at most $2d$, $4p$, and cannot have $2d + n$. The amount of change in dnp cannot exceed $2d$.

3.3 Mergersort

The algorithm is as follows:

Step One is to split the given list into two equal sublists until each list contains a single element.

Step Two is to merge the sublists until they are sorted.

Lemma: Let L_1, L_2 be the two sorted lists of ascending numbers, where L_1 contains n_1 elements, L_2 and L_2 can be merged into a single list, L , using at most $n_1 + n_2 - 1$ comparisons.

The worst-case complexity of mergersort is $O(n \cdot \ln(n))$

3.4 Division Algorithm

For any integers $a, b \in \mathbb{Z}$, $a \neq 0$, a divides b , $a|b$ if $\exists c \in \mathbb{Z}$ such that $b = ac$.

Let a, b be positive integers, then there are unique integers q, r , $0 \leq r < a$ such that $a = bq + r$.

If we consider a fixed $b > 1$ then $\mathbb{Z} = \bigcup_{i \in \mathbb{Z}} [i, i + b)$

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2.3 Binomial Coefficients

Theorem 8 (The Binomial Theorem). Let x and y be variables, and let n be a non-negative integer, then

$$(x + y)^n = \sum_{i=0}^n \binom{n}{i} x^i y^{n-i}$$

2.4 Powersets

The powerset of a set is the set of all its possible subsets.

Example 2.4. How many subsets does the set $\{1, 2, 3, 4, \dots, n\}$ have?

- Let's count sets of size:
• 0: $\binom{n}{0}$
• 1: $\binom{n}{1}$
• 2: $\binom{n}{2}$
...
• n: $\binom{n}{n}$

So we have a total of $\binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \dots + \binom{n}{n} = (1 + 1)^n = 2^n$ (Binomial Theorem)

2.5 Counting Integer Solutions

The number of different, non-negative integer solutions (x_1, x_2, \dots, x_n) of the equation: $x_1 + x_2 + \dots + x_n = m$

$$\binom{m + k - 1}{k - 1}$$

Theorem 9. A linear recursion with constant coefficients is a recurrence relation of the form: $x_n = c_1 x_{n-1} + c_2 x_{n-2} + \dots + c_{k-1} x_{n-k} + f(n)$

2.6 Linear Recursion

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where $n \geq k$, $F(n)$ is a function of n only, $c_i \in \mathbb{R}$, $i = 1, 2, \dots, k$, and $c_k \neq 0$.

If $F(n) = 0$ we call this a homogeneous linear recursion of degree k with constant coefficients.

Theorem 10. Assume a sequence $\{a_n\}$ satisfies some degree k linear recursion.

$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$

Let r_1 and r_2 be the roots of the characteristic equation

$$r^2 = c_1 r + c_2$$

If $r_1 \neq r_2$, then $\exists \{c_1, c_2\} \in \mathbb{R}$ such that $a_n = c_1 r_1^n + c_2 r_2^n$

If $r_1 = r_2$, then $\exists \{c_1, c_2\} \in \mathbb{R}$ such that $a_n = c_1 r_1^n + c_2 n r_1^n$

Example 2.5. Solve $a_n + a_{n-1} - 6a_{n-2} = 0$, $n \geq 2$ Assume $a_0 = a^*$. This comes from looking at the simplest possible case: $a_n = r a_{n-1} - 6a_{n-2} = 0$

$\Rightarrow cr^n + cr^{n-1} - 6r^{n-2} = 0 \Rightarrow r^2 + r - 6 = 0 \Rightarrow r_1 = 2, r_2 = -3$

So $a_n = c_1 2^n + c_2 (-3)^n$ are solutions. In fact, since they are linearly independent solutions, the general solution is

$$a_n = c_1 2^n + c_2 (-3)^n$$

2.5 Counting Integer Solutions

We can also determine these coefficients with $a_0 = 1, a_1 = 2$ giving our final answer of $a_n = 2^n, n \geq 0$

2.6.1 Non-Homogeneous Linear Recursion

Theorem 11. Recall a non homogeneous linear recursion with constant coefficients has the form: $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_{k-1} a_{n-k} + f(n)$

with the associated homogeneous form: $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_{k-1} a_{n-k}$

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Any solution to the non-homogeneous linear recursion has the form $a_n = b_n + a_n^h$, where a_n^h is a particular solution of the non-homogeneous form, and b_n is any solution of the homogeneous form, i.e. the same equation from differential equations with

Solution = homogeneous + non - homogeneous

Suppose $\{a_n\}$ satisfies the non-homogeneous linear recursion where $F(n)$ has the form:

$$F(n) = (\text{polynomial}) \cdot (\text{exponential}) = P(n) \cdot S^n$$

1. When S is NOT a root of the characteristic equation of the second form, then the form is

2. When S IS a root of the characteristic equation, then the form is

2.7 Divide and Conquer Algorithms

The divide and conquer strategy in general is to solve a given problem of size n by breaking the general problem into ≥ 2 sub-problems of size $\frac{n}{2}$ for $k \geq 1$.

We assume $f(n)$ satisfies $f(n) = a \cdot f(\frac{n}{2}) + g(n)$. Let f be an increasing function that satisfies $f(n) = a \cdot f(\frac{n}{2}) + c$ where $a, c \in \mathbb{Z}^+$ and $b \geq 2$. If $n|b \Rightarrow \lfloor \frac{n}{b} \rfloor$ will be $O(n^{\log_b(a)})$ if $a > 1$ our time has growth on the order of $O(n^{\log_b(a)})$.

Furthermore, when $a > 1$, and $n = b^k, k = 1, 2, \dots$ then the time complexity $f(n) = c_1 \cdot n^{\log_b(a)} + c_2$ where $c_1, c_2 \in \mathbb{R}$ and $c_2 = c - \frac{c_1}{b}$.

2.8 Generating Functions

Theorem 12. The generating function for the sequence $\{a_n\}_{n \geq 0}$ is the series $A(z) = \sum_{n=0}^{\infty} a_n z^n$

Where m is the multiplicity of S as a root of the characteristic equation and $q(n)$ is the same.

Example 2.6. Find the general solution of $a_n = 3a_{n-1} + 2^n, n \geq 1, a_0 = 1$

Note that the homogeneous linear recursion form gives us the roots $a_n = 3a_{n-1} \rightarrow r = 3 - a_n = a_3^n, a^0 \in \mathbb{R}$

To find the particular solution, we note that $F(n) = 2^n$, which gives us that the particular solution has the form $b_n = c 2^n$

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